



PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/069,314
Filing Date	February 13, 2002
First Named Inventor	S. Ohkawa
Art Unit	1624
Examiner Name	B. Coleman
Attorney Docket Number	2629 USOP

Sheet 1

of

3

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code <sup>2</sup> (if known)			Class	subclass
BC		US- 5,576,324	11-19-1996	Kowa Company, Ltd.	514	291
BC		US- 6,417,213	07-09-2002	Takeda Chemical Industries, Ltd.	514	375
BC		US- 5,296,482	03-22-1994	Adir et Compagnie	514	213
BC		US- 5,552,418	09-03-1996	Adir et Compagnie	514	348
BC		US- 5,661,186	08-26-1997	Bristol-Myers Squibb Co.	514	630
BC		US- 6,071,946	06-06-2000	Adir et Compagnie	514	411
BC		US- 5,276,051	01-04-1994	Adir et Compagnie	514	415
BC		US- 5,998,461	12-07-1999	Adir et Compagnie	514	411
BC		US- 5,843,986	12-01-1998	Adir et Compagnie	514	450
BC		US- 5,308,866	05-03-1994	Adir et Compagnie	514	469
		US-				
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
BC		EP 0 638 571 A1	02-15-1995	Kowa Company, Ltd.		
BC		WO 97/32871 A1	09-12-1997	Takeda Chemical Industries		
BC		CA 2 382 413	03-01-2001	Takeda Chemical Industries		
BC		EP 0 420 064	04-03-1991	Northwestern University		
BC		WO 95/17405	06-29-1995	Glaxo Group Limited		
BC		WO 95/29173	11-02-1995	Glaxo Group Limited		

Examiner  
Signature

Brenda Coleman

Date  
Considered

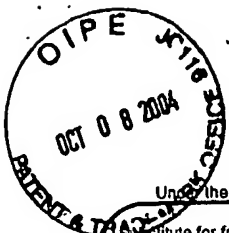
Dec. 22, 2004

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Examiner Name	B. Coleman
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Sheet **3** of **3****NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
BC		Dufresne, C., et al., "The synthesis of phenylhydrazines from bis(2,2,2-trichloroethyl) azodicarboxylates and electron-rich arenes," <u>Synthetic Communications</u> , Vol. 27, No. 20, (1997), pp. 3613-3624	
BC		Macor, John E., et al., "The synthesis of pyrano [3,2-e] indoles and pyrano [2,3-f] indoles as rotationally restricted phenolic analogs of the neurotransmitter serotonin," <u>Tetrahedron</u> , Vol. 48, No. 6, (1992), pp. 1039-1052	

Examiner Signature	<i>Brenda Coleman</i>	Date Considered	<i>Dec. 22, 2004</i>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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